

# Informed Consent

## 1. Information Sheet

### **CyberSANE INFORMATION SHEET – DATA COLLECTION FOR THE PILOT CASE STUDY WITHIN THE FRAMEWORK OF THE CYBERSANE PROJECT**

You are invited to take part in the testing of CyberSANE solutions. This form contains information that will help you decide whether to join the research study.

Taking part in this research project is voluntary. You do not have to participate and you can stop at any time. Please take time to read this entire form and ask questions before deciding whether to take part in this research project.

#### **1. Purpose of the research**

This research is carried out under the HORIZON 2020 project CyberSANE (Cyber Security Incident Handling, Warning and Response System for the European Critical Infrastructures), funded by the European Commission under contract 833683.

A “dynamic collaborative, warning and response system” (CyberSANE system) is being designed to improve the detection and analysis of cyber-attacks and threats of Critical Information Infrastructures (CIIs) and increase the knowledge on the current cyber threat landscape. Additionally, CyberSANE aims to support human operators (such as Incident Response professionals) in order to increase preparedness, improve cooperation amongst CIIs operators, and to adopt appropriate steps to manage security risks, report and handle security incidents. Moreover, CyberSANE is fully in-line with relevant regulations (i.e., GDPR, NIS directive), which requires organizations to increase their preparedness, improve their cooperation with each other, and to adopt appropriate steps to manage security risks, report and handle security incidents.

For the creation of the CyberSANE system, existing systems and tools will be re-used (i.e., security monitoring sensors, web mining) and empowered with the newest techniques as foreseen under the CyberSANE project. The CyberSANE system will be composed of five main components: 1) The Live Security Monitoring (LiveNet), 2) the Deep and Dark Web mining and intelligence (DarkNet), 3) the Data Fusion, Risk Evaluation and Event Management (HybridNet), 4) the Intelligence and Information Sharing and Dissemination (ShareNet), and 5) the Privacy & Data Protection (PrivacyNet). In particular, this will be achieved - among other actions and operational objectives - by validating the project results in a cost-effective way under real conditions through pilot demonstrations taking place in Critical Infrastructures from three sectors: energy (Lightsource Labs), transport (Valencia Port) and health (Klinikum Nurnberg).

#### **2. Procedure description**

The CyberSANE Pilot Case will take place online on the 26<sup>th</sup> January 2022 from 10:00 to 12:30, via Teams. The processes for each pilot operation is described in the public deliverable, ‘D9.1 Report on pilot sites preparation and detailed pilot operations planning’. You will be provided with an oral presentation of the project and the pilot study at the beginning of the meeting.

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### **3. CyberSANE partners**

1. PDM E FC PROJECTO DESENVOLVIMENTO MANUTENCAO FORMACAO E CONSULTADORIALDA
2. ATOS SPAIN SA
3. CONSIGLIO NAZIONALE DELLE RICERCHE
4. S2 GRUPO DE INNOVACION EN PROCESOS ORGANIZATIVOS SL
5. INSTITUT NATIONAL DE RECHERCHE ENINFORMATIQUE ET AUTOMATIQUE
6. MAGGIOLI SPA
7. UBITECH LIMITED
8. INSTITUT JOZEF STEFAN
9. FOUNDATION FOR RESEARCH AND TECHNOLOGY HELLAS
10. SPHYNX TECHNOLOGY SOLUTIONS AG
11. KATHOLIEKE UNIVERSITEIT LEUVEN
12. SIDROCO HOLDINGS LIMITED
13. UNIVERSITY OF ESSEX (PREVIOUSLY UNIVERSITY OF BRIGHTON)
14. FUNDACION DE LA COMUNIDAD VALENCIANA PARA LA INVESTIGACION, PROMOCION Y ESTUDIOS COMERCIALES DE VALENCIAPORT
15. LIGHTSOURCE LABS LIMITED
16. KLINIKUM NURNBERG

### **4. Privacy and data handling**

You are asked to consent that your personal data, including special categories of personal data, will be used for the pilot test of the CyberSANE project.

The following categories of personal data will be collected and used:

- Name and surname
- Position in the organization
- Company/institution
- Country of residence
- Email address
- Your opinion and comment will be asked in the form of an anonymous questionnaire

The partner members involved in the project will process the data in an anonymous and confidential manner.

Results from the Pilot Case will be presented before the European Commission Policy Officer and appointed Reviewers for the purpose of reviewing and validating the CyberSANE project.

Anonymised research results will be published in journal articles, conference presentations and via any other mode of scientific exchange and dissemination that will be seen as appropriate by the researchers, while protecting the participants' anonymity.

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## **5. Potential risk and discomfort**

If, at any time, you should become tired or feel other forms of discomfort, you can simply quit the participation to the CyberSANE pilot case study.

Risks or discomforts may especially occur in case the participants are the employees of a research partner. Employee may fear that he or she may face any negative consequences (such as negative performance assessment, detrimental indirect affects) if he or she does consent to (i) participate to the testing and (b) the processing of his/her personal data for testing purposes. Research partners acknowledge the potential risks resulting from employee-employment relationship and are committed to mitigate these risks by keeping the participation voluntary, informing the participants that they can voluntarily withdraw from participation, assigning no negative consequence for non-participation or withdrawal from participation, and conducting the research in a responsible manner.

## **6. Transfer of data**

Results from the Pilot Case may be transferred for demonstration and promotional use to third parties, who have agreed to limit the use to the aforementioned and demonstration purposes. The results will be anonymised before any circulation. In case of the third parties are not established in the European Union (EU), appropriate safeguards will be taken in order to ensure the protection of personal data and to make effective legal remedies available.

Images or photos taken during the Pilot Case Study may be shared across CyberSANE's social media, website or as part of CyberSANE's monthly and bi-annual newsletters.

## **7. The period for which the data will be stored**

The data will be stored until the end of the CyberSANE project, i.e., 7 months thereafter, and then permanently deleted. Promotional material without reference to identifiable information may be kept after the conclusion of the CyberSANE project.

## **8. Voluntary participation and right to withdraw from the research**

Your choice to take part in the research is entirely voluntary. You are completely free to choose not to participate, or to withdraw your participation at any moment without any consequence. You are always welcome to come back to it at a later time.

## **9. Rights of the participant**

1. You have the right to request from the controller access to and rectification or erasure of personal data or to restrict processing concerning yourself or to object to processing.
2. You have the right to withdraw your consent
3. You have the right to lodge a complaint with a supervisory authority

For your questions regarding your rights, you can contact:

- For the Lightsource Labs Pilot Case: [diarmuid.oconnor@lightsourcelabs.com](mailto:diarmuid.oconnor@lightsourcelabs.com)
  - For the Valencia Port Pilot Case: [pgimenez@fundacion.valenciaport.com](mailto:pgimenez@fundacion.valenciaport.com)
  - For the Klinikum Nurnberg Pilot Case: [Lena.Griebel@klinikum-nuernberg.de](mailto:Lena.Griebel@klinikum-nuernberg.de)
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## **10. Recruitment of participants**

Recruitment strategy determined who can participate in the pilots as described in the public deliver, D9.1 'Report on pilot sites preparation and detailed pilot operations planning'. Participants will be requested to take part in a technical or non-technical questionnaire depending on their expertise in the cybersecurity domain as described in the public D10.1. 'Evaluation and Benchmarking Methodology'.

## **11. Contacts**

For any further doubt or clarification request, do not hesitate to contact the CyberSANE Project Coordinator at [luis.campos@pdmfc.com](mailto:luis.campos@pdmfc.com).

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## 2 Informed Consent Form

### CyberSANE INFORMED CONSENT FORM

I, hereby freely and voluntarily give my CONSENT to participate in the Pilot Case of the CyberSANE Project to the benefit of the CyberSANE Consortium.

I understand and agree that my personal data will be processed during the recording of the activities pursuant to the CyberSANE Pilot Case scenarios. These personal data will be processed for and on behalf of the CyberSANE consortium members as detailed in the information sheet, for purposes of testing of the technologies included in the LiveNet, DarkNet, HybridNet, ShareNet and PrivacyNet module of the CyberSANE technology, as developed during and for the CyberSANE research project.

Please read carefully the accompanying information sheet, which further details the personal data processing activities and the rights you have as a data subject.

Giving my consent, I undersign that:

1. I HAVE CAREFULLY READ and UNDERSTOOD THE INFORMATION SHEET

2. All questions that I posed have been answered to my satisfaction,

3. I AM FULLY AWARE THAT:

- It is my right to withdraw my consent for my participation and associated personal data processing from the Pilot Case at any time without consequences,
  - Any information about myself will be treated as confidential by research Consortium members, and any other party to whom the data may be shared.
  - My personal data will be processed by the CyberSANE consortium and will be used for purposes of research related to the development of the CyberSANE technology, demonstration, validation and training in use of the research tools developed.
  - Extracts from the pilot proceedings will be demonstrated before the European Commission Project Officer and the appointed Reviewers for the purpose of validating the CyberSANE project.
  - In any publication resulting from the CyberSANE Pilot Case scenarios, my personal details will not be revealed and it will not be possible to retrieve any data which might disclose my identity.
  - My personal identifiable information may be disclosed upon my consent only in case of formal ethical investigations by scientific journals or academic societies challenging the existence itself of this empirical investigation.
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